

**FEDERAL FY 2002 CERTIFICATIONS AND ASSURANCES FOR FTA ASSISTANCE**

*(Alternative to Electronic Filing)*

**Name of Applicant:** \_\_\_\_\_

**The Applicant agrees to comply with applicable requirements of Categories 1 - 16.** \_\_\_\_\_

(The Applicant may make this selection in lieu of individual selections below.)

OR

**The Applicant agrees to comply with the applicable requirements of the following Categories it has selected:**

1. Certifications and Assurances Required of Each Applicant. \_\_\_\_\_

2. Lobbying Certification \_\_\_\_\_

3. Certification Pertaining to Effects on Private Mass Transportation Companies \_\_\_\_\_

4. Public Hearing Certification for a Project with Substantial Impacts \_\_\_\_\_

5. Certification for the Purchase of Rolling Stock \_\_\_\_\_

6. Bus Testing Certification. \_\_\_\_\_

7. Charter Service Agreement. \_\_\_\_\_

8. School Transportation Agreement. \_\_\_\_\_

9. Certification for Demand Responsive Service \_\_\_\_\_

10. Prevention of Alcohol Misuse and Prohibited Drug Use Certification \_\_\_\_\_

11. Certification Required for Interest and Other Financing Costs \_\_\_\_\_

12. Intelligent Transportation Systems Program Assurance \_\_\_\_\_

13. Certifications and Assurances for the Urbanized Area Formula Program, the Job Access and Reverse Commute Program, and the Clean Fuels Formula Program \_\_\_\_\_

14. Certifications and Assurances for the Elderly and Persons with Disabilities Program \_\_\_\_\_

15. Certifications and Assurances for the Nonurbanized Area Formula Program \_\_\_\_\_

16. Certifications and Assurances for the State Infrastructure Bank (SIB) Program \_\_\_\_\_

*(Both pages of this Signature Page must be appropriately completed and signed as indicated.)*

## **FEDERAL FISCAL YEAR 2002 FTA CERTIFICATIONS AND ASSURANCES**

(Required of all Applicants for FTA assistance and all FTA Grantees with an active capital or formula project)

Name of Applicant: \_\_\_\_\_

Name and Relationship of Authorized Representative: \_\_\_\_\_

BY SIGNING BELOW I, \_\_\_\_\_ (name), on behalf of the Applicant, declare that the Applicant has duly authorized me to make these certifications and assurances and bind the Applicant's compliance. Thus, the Applicant agrees to comply with all Federal statutes, regulations, executive orders, and administrative guidance required for each application it makes to the Federal Transit Administration (FTA) in Federal Fiscal Year 2002.

FTA intends that the certifications and assurances the Applicant selects on the other side of this document, as representative of the certifications and assurances in Appendix A, should apply, as required, to each project for which the Applicant seeks now, or may later, seek FTA assistance during Federal Fiscal Year 2002.

The Applicant affirms the truthfulness and accuracy of the certifications and assurances it has made in the statements submitted herein with this document and any other submission made to FTA, and acknowledges that the provisions of the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. 3801 *et seq.*, as implemented by U.S. DOT regulations, "Program Fraud Civil Remedies," 49 CFR part 31 apply to any certification, assurance or submission made to FTA. The criminal fraud provisions of 18 U.S.C. 1001 apply to any certification, assurance, or submission made in connection with the Urbanized Area Formula Program, 49 U.S.C. 5307, and may apply to any other certification, assurance, or submission made in connection with any other program administered by FTA.

In signing this document, I declare under penalties of perjury that the foregoing certifications and assurances, and any other statements made by me on behalf of the Applicant are true and correct.

Signature \_\_\_\_\_ Date: \_\_\_\_\_

### **AFFIRMATION OF APPLICANT'S ATTORNEY**

for \_\_\_\_\_ (Name of Applicant)

As the undersigned Attorney for the above named Applicant, I hereby affirm to the Applicant that it has authority under state and local law to make and comply with the certifications and assurances as indicated on the foregoing pages. I further affirm that, in my opinion, the certifications and assurances have been legally made and constitute legal and binding obligations on the Applicant.

I further affirm to the Applicant that, to the best of my knowledge, there is no legislation or litigation pending or imminent that might adversely affect the validity of these certifications and assurances, or of the performance of the project.

Signature \_\_\_\_\_ Date: \_\_\_\_\_

\_\_\_\_\_  
Name \_\_\_\_\_  
Applicant's Attorney

Each Applicant for FTA financial assistance (except 49 U.S.C. 5312(b) assistance) and each FTA Grantee with an active capital or formula project must provide an Attorney's affirmation of the Applicant's legal capacity. The Applicant may enter its PIN in lieu of the electronic signature of its Attorney, provided the Applicant has on file this Affirmation of its Attorney in writing dated this Federal fiscal year.